

**APPROVAL OF PRODUCT-SPECIFIC ESTABLISHMENTS
SUBJECT TO APPROVAL UNDER REGULATION (EC) No.
853/2004**

TEMPLATE FORMS

Template forms which may be used by authorised officers in connection with the approval of product-specific establishments are attached as summarised in the following table:

Template Form Number	Template Form
1	Application for Approval
2	Notification of Grant of Full Approval / Conditional Approval
3	Notice of Decision Not to Grant Approval
4	Notice of Decision to Withdraw Approval / Conditional Approval
5	Notice of Decision to Suspend Approval / Conditional Approval
6	Notification of Refusal to Grant Full Approval to an Establishment which is Conditionally Approved

As stated in Paragraph 5.1.5 of the Code of Practice, although the content of these documents should be regarded as the minimum required, Food Authorities may adapt them as necessary to meet local requirements.

Tel (Incl. Dialling code)	
Fax (incl. Dialling code)	
E-mail	

Full names of managers of the establishment	1.	2.	3.
	Job titles	1.	2.

Full Names of others In control of the business	1.	2.	3.
	Job titles	1.	2.

PART 4 – Use of the establishment

Which of the following activities will be conducted in / from the establishment (tick all that apply)?

- Stand-alone cold store
- Wholesale market
- Manufacture
- Other processing (please specify)
- Packing
- Storage
- Distribution
- Cash and carry / wholesale
- Catering (preparation of food for consumption in the establishment)
- Retail (direct sale to consumers or other customers)
- Market stall or mobile vendor
- Other (please specify)

PART 5 – Transport of products from the establishment

How will products be transported from the establishment (tick all that apply)?

- Your own vehicle(s)
- Contract / Private Haulier
- Purchaser's own vehicle(s)
- Other (please specify)

PART 6 – Supply of products from the establishment to other establishments

Which of the following will be supplied with products from the establishment (tick all that apply)?

- Other businesses that manufacture or process food
- Wholesale packers
- Cold stores that are not part of the establishment to which this application relates
- Warehouses that are not part of the establishment to which this application relates
- Restaurants, hotels, canteens or similar catering businesses

- Take-away businesses
- Retail shops, supermarkets, stalls, or mobile vendors that you own
- Retail shops, supermarkets, stalls, or mobile vendors that you do not own
- Members of the public direct from the establishment to which this application relates
- Other (please specify)

PART 7 – Other activities on the same site

Will any of the following activities be conducted on the same site as, or within, the establishment to which this application for approval relates?

	YES	NO	APPROVAL CODE
Slaughter, including pigs, sheep, cattle, poultry, game etc:	<input type="checkbox"/>	<input type="checkbox"/>	
Cutting fresh (including chilled and frozen) meat, poultry meat or game:	<input type="checkbox"/>	<input type="checkbox"/>	
Storage of fresh (including chilled and frozen) meat, poultry or game:	<input type="checkbox"/>	<input type="checkbox"/>	

PART 8 – Information and documentation

The following information is required in order to process your application and should be sent with this application form if possible. Please indicate which information you are sending now (N.B. information that is not sent now will still be required before your application can be determined).

- A detailed scale plan of the (proposed) establishment showing the location of rooms and other areas to be used for the storage and processing of raw materials, product and waste, and the layout of facilities and equipment
- A description of the (proposed) food safety management system based on HACCP principles
- A description of the (proposed) establishment and equipment maintenance arrangements
- A description of the (proposed) establishment, equipment , and transport cleaning arrangements
- A description of the (proposed) waste collection and disposal arrangements
- A description of the (proposed) water supply
- A description of the (proposed) water supply quality testing arrangements
- A description of the (proposed) arrangements for product testing
- A description of the (proposed) pest control arrangements
- A description of the (proposed) monitoring arrangements for staff health
- A description of the (proposed) staff hygiene training arrangements
- A description of the (proposed) arrangements for record keeping
- A description of the (proposed) arrangements for applying the identification mark to product packaging or wrapping

PART 9 - Products to be handled in the establishment / activities

Which of the following activities will be conducted in the establishment? Indicate by giving the approximate quantities to be handled in kilograms or litres per week (tick all that apply).

PART 9(1) – Minced Meat and Meat Preparations

<input type="checkbox"/>	Handling minced meat
<input type="checkbox"/>	Handling meat preparations

Full details of activities and specific products handled

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How many tonnes of minced meat in total will be handled in the establishment per week on average?	<input type="text"/>
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How many tonnes of meat preparations in total will be handled in the establishment per week on average?	<input type="text"/>
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PART 9(2) – Mechanically Separated Meat

Full details of activities and specific products handled

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How many tonnes of mechanically separated meat in total will be handled in the establishment per week on average?	<input type="text"/>
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PART 9(3) – Meat Products

Full details of activities and specific products handled

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How many tonnes of meat products will be handled in the establishment per week on average?	<input type="text"/>
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PART 9(4) – Live Bivalve Molluscs (Shellfish) / Fishery Products

Full details of activities and specific products handled

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How many tonnes of Live Bivalve Molluscs (Shellfish) / Fishery Products will be handled in the establishment per week on average?	<input type="text"/>
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PART 9(5) – Raw Milk / Dairy Products

<input type="checkbox"/>	Raw Milk
<input type="checkbox"/>	Dairy Products

Full details of activities and specific products handled

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How many litres of Raw Milk will be handled in the establishment per week on average?	<input type="text"/>
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How many litres / tonnes of Dairy Products will be handled in the establishment per week on average?	
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PART 9(6) – Eggs (not Primary Production) / Egg Products

Full details of activities and specific products handled

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How many tonnes of Eggs will be packed in the establishment per week on average?	
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How many litres of Egg Products will be handled in the establishment per week on average?	
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PART 9(7) – Frogs' Legs and Snails

	Frogs' Legs
	Snails

Full details of activities and specific products handled

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How many tonnes of frogs' legs in total will be handled in the establishment per week on average?	
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How many tonnes of snails in total will be handled in the establishment per week on average?	
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PART 9(8) – Rendered Animal Fats and Greaves

	Rendered Animal Fats
	Greaves

Full details of activities and specific products handled

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How many tonnes of rendered animal fats will be handled in the establishment per week on average?	
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How many tonnes of greaves will be handled in the establishment per week on average?	
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PART 9(9) – Treated Stomachs, Bladders and Intestines

	Treated Stomachs
	Treated Bladders
	Treated Intestines

Full details of activities and specific products handled

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How many tonnes of treated stomachs in total will be handled in the establishment per week on average?	
How many tonnes of treated bladders in total will be handled in the establishment per week on average?	

How many tonnes of treated intestines in total will be handled in the establishment per week on average?	
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PART 9(10) – Gelatine

Full Details of Activities

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How many tonnes of gelatine in total will be handled in the establishment per week on average?	
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PART 9(11) – Collagen

Full Details of Activities

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How many tonnes of collagen in total will be handled in the establishment per week on average?	
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PART 9(12) – Stand-alone Cold Store

Full details of activities and specific products handled

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How many tonnes of product will be handled in the establishment per week on average?	
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PART 10 – APPLICATION

I hereby apply, as food business operator of the establishment detailed in Part 1, for approval to use that establishment for the purposes of handling products of animal origin for which Regulation (EC) No. 853/2004 lays down requirements, as set out in the relevant Parts of this document.

Signature of Food Business Operator

Date

Name in BLOCK LETTERS

If you need any help or advice about how to complete this form, or about the products to which the Regulation relates, or the circumstances in which approval under the Regulation is required, please contact the officer named below.

When you have completed this form and collected the other information required, please send it to:

Hertsmere Borough Council
Housing & Environmental Health
Civic Offices
Elstree Way
Borehamwood
Herts WD6 1WA

Contact Name: Mrs S Kumar

Telephone: 020 8207 2277 x4680

Fax: 020 8207 7436

E-mail: suneeta.kumar@hertsmere.gov.uk

IMPORTANT

Please notify any changes to the details you have given on this form, in writing to the Food Authority at the address shown.

Template Form 2: Notification of Grant of Full Approval / Conditional Approval

Notification of Grant of Full Approval / Conditional Approval* of a Food Business Establishment Subject to Approval under Regulation (EC) No. 853/2004

To be completed by the Food Authority and sent to the food business operator

PART 1 – Name and address of food business operator

TO:

IMPORTANT

You must notify any change to the details on this form, including any changes in the operations carried out and products handled in the establishment, in writing to the approving Food Authority at the address shown.

[Food Authority Logo]

PART 2 – Introduction

Further to your application dated _____ for approval of your establishment in accordance with Regulation (EC) No. 853/2004, approval / conditional approval* is GRANTED in respect of the establishment shown in Part 3, and the scope of operations, activities and other matters set out in the relevant Parts of this document.

The approval code that has been allocated to this establishment is shown at the end of this document. It must be used in the format stipulated by, and when required by, Regulation (EC) No. 853/2004.

In accordance with Regulation 12 of the Official Feed and Food Controls (England) Regulations 2006, any person who is aggrieved by a decision of a Food Authority not to grant a full approval may appeal against that decision to a Magistrates Court. The time limit for lodging an appeal is one month from the date on which this notice was served on you. You may wish to consult a legal adviser about the implications of this notice and your right of appeal against this Food Authority's decision on your application. The name and address of the Magistrates Court at which you should lodge your appeal is

PART 3 – Trading name and address

Trading name of establishment

Full postal address

Postcode:

The establishment has been APPROVED in accordance with Article 31(2)(c) of Regulation (EC) No. 882/2004.

The establishment has been CONDITIONALLY APPROVED in accordance with Article 31(2)(d) of Regulation (EC) No. 882/2004.

PART 3(1) – Conditional Approval (To be completed when conditional approval has been granted)

The requirements of the Regulations with which you have failed to comply are:

Regulation / Article No.	Requirement

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The reasons you have failed to comply with the requirements of the Regulations are:

Regulation / Article No.	Details of non-compliance

The measures you need to take in order to comply with the requirements of the Regulations are:

Regulation / Article No.	Measures needed to secure compliance

In accordance with Article 31(2)(d) of Regulation (EC) No. 882/2004, this Food Authority will visit your establishment within three months of this conditional approval being granted in order to make an assessment of progress in complying with the above requirements.

PART 4 – Food business operator

Name and full address
of Food Business Operator

Postcode:

PART 5 – Scope of approval / conditional approval*

This approval/conditional approval* authorises the handling of the following type(s) of product in the establishment shown in Part 3 of this document in respect of the specific activities and products detailed below:

- | | |
|--------------------------|--|
| <input type="checkbox"/> | Minced Meat |
| <input type="checkbox"/> | Meat Preparations |
| <input type="checkbox"/> | Mechanically Separated Meat |
| <input type="checkbox"/> | Meat Products |
| <input type="checkbox"/> | Live Bivalve Molluscs (Shellfish) |
| <input type="checkbox"/> | Fishery Products |
| <input type="checkbox"/> | Dairy Products |
| <input type="checkbox"/> | Eggs (not Primary Production) / Egg Products |
| <input type="checkbox"/> | Frogs' Legs / Snails |
| <input type="checkbox"/> | Rendered Animal Fats and Greaves |
| <input type="checkbox"/> | Treated Stomachs, Bladders and Intestines |
| <input type="checkbox"/> | Gelatine |
| <input type="checkbox"/> | Collagen |

Full Details of Activities and Specific Products Handled:

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The establishment shown at Part 3 has been granted the following derogations:

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Approval Code:

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**Date Approval /
Conditional Approval*
Granted:**

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Signed:

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Name:

--

Designation:

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Date:

--

Name and address of Food Authority:

Contact Name:

Telephone:

Fax:

E-mail:

IMPORTANT

You must notify any change to the details on this form, including any changes in the operations carried out and products handled in the establishment, in writing to the approving Food Authority at the address shown.

* Food Authority to delete as appropriate

Template Form 3: Notice of Decision of Refusal to Grant Approval

Notice of Decision to REFUSE to Grant Approval to a Food Business Establishment Subject to Approval under Regulation (EC) No. 853/2004

To be completed by the Food Authority and sent to the food business operator

PART 1 – Name and address of food business operator

TO:

IMPORTANT

You must not use the establishment detailed in Part 3 for ANY purpose which would render the establishment subject to approval under Regulation (EC) No. 853/2004 unless this Food Authority has granted approval or conditional approval.

[Food Authority Logo]

PART 2 – Notification of decision

Further to your application dated _____ for approval of your establishment in accordance with Regulation (EC) No. 853/2004, approval is REFUSED in respect of the establishment shown in Part 3, and the scope of operations, activities and other matters set out in the relevant Parts of this document.

The decision to refuse your application was made for the reason(s) set out in Part 4 of this document.

The establishment must therefore not be used for any purpose which would render the establishment subject to approval under Regulation (EC) No. 853/2004 UNLESS THIS FOOD AUTHORITY GRANTS APPROVAL OR CONDITIONAL APPROVAL.

In accordance with Regulation 12 of the Official Feed and Food Controls (England) Regulations 2006, any person who is aggrieved by a decision of a Food Authority to refuse to grant an approval may appeal against that decision to a Magistrates Court. The time limit for lodging an appeal is one month from the date on which this notice was served on you. You may wish to consult a legal adviser about the implications of this notice and your right of appeal against this Food Authority's decision on your application. The name and address of the Magistrates Court at which you should lodge your appeal is _____.

PART 3 – Trading name and address of the establishment

Trading name of establishment

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Full postal address

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Postcode:

PART 4 – Reasons for refusal

Your application for approval has been refused because you have failed to comply with the requirements of the Regulations as indicated below.

The requirements of the Regulations that you have failed to comply with are:

Regulation / Article No.	Requirement

The reasons you have failed to comply with the requirements of the Regulation are:

Regulation / Article No.	Details of non-compliance

Signed:	
Name:	
Designation:	
Date:	

Name and address of Food Authority:

Contact Name:

Telephone:

Fax:

E-mail:

IMPORTANT

You must not use the establishment detailed in Part 3 for ANY purpose which would render the establishment subject to approval under Regulation (EC) No. 853/2004 unless this Food Authority has granted approval or conditional approval.

Template Form 4: Notice of Decision to Withdraw Approval / Conditional Approval

Notice of Decision to WITHDRAW the Approval / Conditional Approval* of a Food Business Establishment Subject to Approval under Regulation (EC) No. 853/2004

To be completed by the Food Authority and sent to the food business operator

PART 1 – Name and address of food business operator

TO:	IMPORTANT With immediate effect, you must not use the establishment detailed in Part 3 for ANY purpose which would render the establishment subject to approval under Regulation (EC) No. 853/2004 or use the associated approval code on any product unless this Food Authority has granted approval or conditional approval.	[Food Authority Logo]
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PART 2 – Notification of decision to withdraw approval / conditional approval*

This is formal notice that the approval / conditional approval* granted by this Food Authority (or by a predecessor Food Authority) on _____ in respect of the establishment shown in Part 3 of this document, which is subject to approval under Regulation (EC) No. 853/2004, to handle the products of animal origin indicated in Part 4 of this document, has been WITHDRAWN in accordance with Article 31(2)(e) of Regulation (EC) No. 882/2004. The decision to withdraw the approval / conditional approval* was made for the reason(s) set out in Part 5 of this document.

With immediate effect you must cease the use of the establishment detailed in Part 3 for ANY purpose which would render the establishment subject to approval under Regulation (EC) No. 853/2004, or use the associated approval code _____ on any product, **UNLESS THIS FOOD AUTHORITY GRANTS APPROVAL OR CONDITIONAL APPROVAL.**

In accordance with Regulation 12 of the Official Feed and Food Controls (England) Regulations 2006, any person who is aggrieved by a decision of a Food Authority to withdraw an approval/conditional approval* may appeal against that decision to a Magistrates Court. The time limit for lodging an appeal is one month from the date on which this notice was served on you. You may wish to consult a legal adviser about the implications of this notice and your right of appeal against this Food Authority's decision on your application. The name and address of the Magistrates Court at which you should lodge your appeal is _____

PART 3 – Trading name and address of the establishment

Trading name of establishment

Full postal address

Postcode:

PART 4 – Product(s) of animal origin for which approval / conditional approval* had been granted

- | | |
|--------------------------|-----------------------------|
| <input type="checkbox"/> | Minced Meat |
| <input type="checkbox"/> | Meat Preparations |
| <input type="checkbox"/> | Mechanically Separated Meat |

- Meat Products
- Live Bivalve Molluscs (Shellfish)
- Fishery Products
- Dairy Products
- Eggs (not Primary Production) / Egg Products
- Frogs' Legs / Snails
- Rendered Animal Fats and Greaves
- Treated Stomachs, Bladders and Intestines
- Gelatine
- Collagen

PART 5 – Reasons for withdrawal

The approval / conditional approval* has been withdrawn because you have failed to comply with the requirements of the Regulations as identified below.

The requirements of the Regulations that you have failed to comply with are:

Regulation / Article No.	Requirement

The reasons you have failed to comply with the requirements of the Regulation are:

Regulation / Article No.	Details of non-compliance

Signed: _____

Name: _____

Designation: _____

Date: _____

Name and address of Food Authority:	Contact Name:
	Telephone:
	Fax:
	E-mail:

IMPORTANT

With immediate effect, you must not use the establishment detailed in Part 3 for ANY purpose which would render the establishment subject to approval under Regulation (EC) No. 853/2004 or use the associated approval code on any product unless this Food Authority has granted approval or conditional approval.

* Food Authority to delete as appropriate

Template Form 5: Notice of Decision to Suspend Approval / Conditional Approval

Notice of Decision to SUSPEND the Approval / Conditional Approval* of a Food Business Establishment Subject to Approval under Regulation (EC) No. 853/2004

To be completed by the Food Authority and sent to the food business operator

PART 1 – Name and address of food business operator

TO:	IMPORTANT With immediate effect, you must not use the establishment detailed in Part 3 for any purpose which would render the establishment subject to approval under Regulation (EC) No. 853/2004 or use the associated approval code on any product until such time as the Food Authority lifts this suspension.	[Food Authority Logo]
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PART 2 – Notice of decision to suspend approval / conditional approval*

This is formal notice that the approval / conditional approval* granted by this Food Authority (or by a predecessor Food Authority) on _____ in respect of the establishment shown in Part 3 of this document, which is subject to approval under Regulation (EC) No. 853/2004, to handle the products of animal origin indicated in Part 4 of this document, has been **SUSPENDED** in accordance with Article 31(2)(e) of Regulation (EC) No. 882/2004. The decision to suspend the approval / conditional approval* was made for the reason(s) set out in Part 5 of this document.

With immediate effect you must cease the use of the establishment detailed in Part 3 for **ANY** purpose which would render the establishment subject to approval under Regulation (EC) No. 853/2004, or use the associated approval code _____ on any product, **UNTIL SUCH TIME AS THIS FOOD AUTHORITY LIFTS THE SUSPENSION.**

In accordance with Regulation 12 of the Official Feed and Food Controls (England) Regulations 2006, any person who is aggrieved by a decision of a Food Authority to suspend an approval or conditional approval may appeal against that decision to a Magistrates Court. The time limit for lodging an appeal is one month from the date on which this notice was served on you. You may wish to consult a legal adviser about the implications of this notice and your right of appeal against this Food Authority's decision on your application. The name and address of the Magistrates Court at which you should lodge your appeal is _____.

PART 3 – Trading name and address of the establishment

Trading name of establishment

Full postal address

Postcode:

PART 4 – Product(s) of animal origin for which approval / conditional approval* had been granted

- Minced Meat
- Meat Preparations
- Mechanically Separated Meat

- Meat Products
- Live Bivalve Molluscs (Shellfish)
- Fishery Products
- Dairy Products
- Eggs (not Primary Production) / Egg Products
- Frogs' Legs / Snails
- Rendered Animal Fats and Greaves
- Treated Stomachs, Bladders and Intestines
- Gelatine
- Collagen

PART 5 – Reasons for suspension

The approval has been suspended because you have failed to comply with the requirements of the Regulations as identified below.

The requirements of the Regulations that you have failed to comply with are:

Regulation / Article No.	Requirement

The reasons you have failed to comply with the requirements of the Regulation are:

Regulation / Article No.	Details of non-compliance

The measures you need to take in order to comply with the requirements of the Regulation are:

Regulation / Article No.	Measures needed to secure compliance

Signed: _____
Name: _____
Designation: _____
Date: _____

Name and address of Food Authority:

Contact Name: _____

Telephone: _____

Fax: _____

<p>IMPORTANT</p> <p>With immediate effect, you must not use the establishment detailed in Part 4 for any purpose which would render the establishment subject to approval under Regulation (EC) No. 853/2004 or</p>
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E-mail:

use the associated approval code on any product until such time as the Food Authority lifts this suspension.

*** Food Authority to delete as appropriate**

Template Form 6: Notice of Refusal to Grant Full Approval to an Establishment which was Conditionally Approved

Notice of Decision to REFUSE to Grant Full Approval to an Establishment subject to Approval under Regulation (EC) No. 853/2004, which was Conditionally Approved under Regulation (EC) No. 882/2004

To be completed by the Food Authority and sent to the food business operator

PART 1 – Name and address of food business operator

TO:	IMPORTANT With immediate effect, you must not use the establishment detailed in Part 3 for any purpose which would render the establishment subject to approval under Regulation (EC) No. 853/2004 or use the associated approval code on any product unless this Food Authority has granted approval or conditional approval.	[Food Authority Logo]
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PART 2 – Notification of decision

Your establishment, as detailed in Part 3, which is subject to approval under Regulation (EC) No. 853/2004 and was conditionally approved in accordance with Article 31(2)(d) of Regulation (EC) No. 882/2004 to handle the products of animal origin indicated in Part 4 of this document has been REFUSED full approval.

The decision to refuse to grant full approval was made for the reason(s) set out in Part 5 of this document.

With immediate effect you must cease the use of the establishment detailed in Part 3 for ANY purpose which would render the establishment subject to approval under Regulation (EC) No. 853/2004, or use the associated approval code on any product, **UNLESS THIS FOOD AUTHORITY GRANTS APPROVAL OR CONDITIONAL APPROVAL.**

In accordance with Regulation 12 of the Official Feed and Food Controls (England) Regulations 2006, any person who is aggrieved by a decision of a Food Authority to grant an approval may appeal against that decision to a Magistrates Court. The time limit for lodging an appeal is one month from the date on which this notice was served on you. You may wish to consult a legal adviser about the implications of this notice and your right of appeal against this Food Authority's decision on your application. The name and address of the Magistrates Court at which you should lodge your appeal is .

PART 3 – Trading name and address of the establishment

Trading name of establishment

Full postal address
Postcode:

PART 4 – Product(s) of animal origin for which conditional approval had been granted

- Minced Meat
- Meat Preparations
- Mechanically Separated Meat

- Meat Products
- Live Bivalve Molluscs (Shellfish)
- Fishery Products
- Dairy Products
- Eggs (not Primary Production) / Egg Products
- Frogs' Legs / Snails
- Rendered Animal Fats and Greaves
- Treated Stomachs, Bladders and Intestines
- Gelatine
- Collagen

PART 5 – Reasons for refusal

Full approval has been refused because you have failed to comply with the requirements of the Regulations as indicated below.

The requirements of the Regulations that you have failed to comply with are:

Regulation / Article No.	Requirement

The reasons you have failed to comply with the requirements of the Regulations are:

Regulation / Article No.	Details of non-compliance

Signed:
Name:
Designation:
Date:

Name and address of Food Authority:

Contact Name:

IMPORTANT

With immediate effect, you must not use the establishment detailed in Part 3 for any purpose which would render the establishment subject to approval under Regulation (EC) No. 853/2004 or use the associated approval code on any product unless this Food Authority has granted approval or conditional approval.